

CONVOLUTION IDENTITIES FOR DIVISOR FUNCTIONS

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(BASED ON WORK WITH KIM KLINGER-LOGAN, AND DANYLO RADCHENKO)

Special cases of main theorems. In this talk, we will consider convolution sums of divisor functions. And instead of diving in and giving explicit statements of theorems, I will start by giving you special examples of what we will be able to prove.

We denote $\sigma_a(n) = \sum_{d|n, d>0} d^a$. For examples, $\sigma_0(-2) = 1^0 + 2^0 = 2$.

- **Example 1.** For $n \in \mathbb{N}$, and $\varphi_1(n_1, n_2) = 2 - \frac{n_2 - n_1}{n_2 + n_1} \log \left| \frac{n_2}{n_1} \right|$, we have

$$\sum_{\substack{n_1, n_2 \in \mathbb{Z} \setminus \{0\} \\ n_1 + n_2 = n}} \varphi_1(n_1, n_2) \sigma_0(n_1) \sigma_0(n_2) = (2 - \log(4\pi^2 |n|)) \sigma_0(n),$$

- **Example 2.** (conjectured in [1])

For $n \in \mathbb{N}$ and

$$\varphi_2(n_1, n_2) = -\frac{1}{4} \left(\frac{n_1^2}{n_2^2} + \frac{n_2^2}{n_1^2} \right) - \frac{7}{2} \left(\frac{n_1}{n_2} + \frac{n_2}{n_1} \right) + \frac{47}{2} + 15 \left(\frac{n_2 - n_1}{n_1 + n_2} \right) \log \left| \frac{n_1}{n_2} \right|,$$

we have

$$\sum_{\substack{n_1, n_2 \in \mathbb{Z} \setminus \{0\} \\ n_1 + n_2 = n}} \varphi_2(n_1, n_2) \sigma_2(n_1) \sigma_2(n_2) = \left(\frac{\zeta(2)n^2}{2} + 30\zeta'(-2) \right) \sigma_2(n),$$

where ζ denotes the Riemann zeta function.

- **Example 3.** For $n \in \mathbb{N}$ and

$$\varphi_3(n_1, n_2) = (n_1 - 3n_2) |n_1|^{\frac{1}{2}} + (n_2 - 3n_1) |n_2|^{\frac{1}{2}},$$

we have

$$\sum_{\substack{n_1 \in \mathbb{Z} \setminus \{0, n\} \\ n_1 + n_2 = n}} \varphi_3(n_1, n_2) \sigma_{-\frac{1}{2}}(n_1) \sigma_{-\frac{1}{2}}(n_2) = -\sigma_{-\frac{1}{2}}(n) \left(2n^{\frac{3}{2}} \zeta\left(\frac{1}{2}\right) + \frac{3}{2\pi} n \zeta\left(\frac{3}{2}\right) \right).$$

Note:

- (1) there are infinitely many summands in each sum,
- (2) all sums considered are absolutely convergent; it is not a meromorphic continuation,

- (3) we took $n > 0$ for the convenience; the case $n < 0$ follows immediately, and the case $n = 0$ is completely described by the Ramanujan formula

$$\sum_{n_1 \in \mathbb{N}} \frac{\sigma_{r_1}(n_1) \sigma_{r_2}(n_1)}{n_1^s} = \frac{\zeta(s) \zeta(s - r_1) \zeta(s - r_2) \zeta(s - (r_1 + r_2))}{\zeta(2s - (r_1 + r_2))}.$$

Related results.

- finite sums, odd divisor functions, $M \in \mathbb{N}$.
– 1804-1851 attributed to Jacobi,

$$\sum_{\substack{1 \leq n_1 \leq n-1 \\ n_1 + n_2 = n}} \sigma_3(n_1) \sigma_3(n_2) = \frac{\sigma_7(n) - \sigma_3(n)}{120}.$$

- [2] introduced method of calculations of

$$\sum_{\substack{1 \leq n_1 \leq n-1 \\ n_1 + n_2 = n}} \sigma_{r_1}(n_1) \sigma_{r_2}(n_2) n_1^j, \quad j \in \mathbb{N}_0, r_1, r_2 \in 2\mathbb{N}_0 + 1$$

- [9] showed for any C^∞ -function with compact support,

$$\sum_{1 \leq m_1 \leq \infty, m_1 + m_2 = m} \sigma_0(m_1) \sigma_0(m_2) W\left(\frac{m_1}{m_1 + m_2}\right)$$

is explicitly given; involves Maass wave forms and integration against Riemann zeta function. Despite that it is given by an infinite sum, the sum is, in fact, finite.

- [8] informal proof of Example 2. Involves non-justifiable interchanging of limits.
- [5] generalization of [8].

2. MOTIVATION

Let $f : \mathbb{H} \rightarrow \mathbb{C}$ be a function satisfying the equation

$$(\Delta - 12)f(z) = -E_{3/2}^2(z), \quad z \in \mathbb{H}, \quad \Delta = y^2(d^2/dx^2 + d^2/dy^2).$$

Using separation of variables, we obtain that n -th Fourier term of f for $n \neq 0$ satisfies a certain ODE that I will not write and thus belongs to a 2-dimensional space¹

(2.1)

$$\begin{aligned} \hat{f}_n \in & \left\{ \alpha_n \sqrt{y} K_{1/2}(|n|y) + \beta_n \sqrt{y} I_{1/2}(|n|y) \right. \\ & \left. + \sum_{\substack{n_1, n_2 \in \mathbb{Z} \setminus \{0\} \\ n_1 + n_2 = n}} \sum_{i,j=0}^1 \sum_{k=0}^{2r-1} q_{i,j,k}(n_1, n_2, y) y^{1-k} K_i(|n_1|y) K_j(|n_2|y) \mid \alpha_n, \beta_n \in \mathbb{C} \right\}, \end{aligned}$$

where $q_{i,j,k}$ is some function in n_1 and n_2 .

If we demand that the function grows not too fast in cusps, then $\beta_n = 0$.

¹The equation below is slightly lying: there are summands missing corresponding to the cases $n_1 = n, n_2 = 0$ and $n_1 = 0, n_2 = n$.

- There exists an algorithm to calculate $q_{i,j,k}$ for Fourier coefficients of

$$(\Delta - r(r+1))f(z) = E_{a+1/2}(z)E_{b+1/2}(z)$$

for physically relevant $r, a, b \in \mathbb{N}_0$ [4] and [3].

Naively interchanging finding asymptotic expansion and an infinite sum and assuming Example 2 holds, every function from the space has asymptotic expansion

$$\hat{f}_n(z) = \alpha_n y^{-3} + \dots, \quad y \rightarrow 0.$$

On the other hand, *physical condition, the weak coupling limit*

$$f(z) = O(y^3), \quad y \rightarrow \infty.$$

From automorphy², $\alpha_n = 0$.

3. MAIN THEOREM (CASE OF EVEN DIVISORS)

Let $Q_d^{(\alpha,\beta)}(x)$ be the *Jacobi function of the second kind* defined as follows:

$$Q_d^{(\alpha,\beta)}(x) = \frac{(x-1)^{-\alpha}(x+1)^{-\beta}}{2^{d+1}} \int_{-1}^1 \frac{(1-t)^{d+\alpha}(1+t)^{d+\beta} dt}{(x-t)^{d+1}}, \quad x \in \mathbb{C} \setminus [-1, 1].$$

Examples:

- $Q_1^{(0,0)}(x) = \frac{x}{2} \log \left| \frac{x+1}{x-1} \right| - 1$.
- for $\alpha, \beta, d \in \mathbb{Z}_{\geq 0}$,

$$Q_d^{(\alpha,\beta)}(x) = \frac{(-1)^\alpha}{2} P_d^{(\alpha,\beta)}(x) \log \left| \frac{x+1}{x-1} \right| + \frac{R(x)}{(x-1)^\alpha(x+1)^\beta},$$

and $R \in \mathbb{Q}[x]$ is a polynomial of degree $d + \alpha + \beta - 1$.

Lemma 3.1. [6] *For any $d \geq 1$, $r_1, r_2 \in 2\mathbb{Z}_{>0}$ and $n \in \mathbb{N}$,*

(3.1)

$$\sum_{\substack{n_1, n_2 \in \mathbb{Z} \setminus \{0\} \\ n_1 + n_2 = n}} Q_d^{(r_1, r_2)} \left(\frac{n_2 - n_1}{n_1 + n_2} \right) \sigma_{r_1}(n_1) \sigma_{r_2}(n_2) = (-1)^d C_d^{(r_1, r_2)}(n) \sigma_{r_1}(n) - C_d^{(r_2, r_1)}(n) \sigma_{r_2}(n) + \frac{a_n}{n^d},$$

where

$$C_d^{(r_1, r_2)}(n) = \frac{(r_2 - 1)!(r_1 + d)!}{2(r_1 + r_2 + d)!} \zeta(r_2) n^{r_2} + \binom{d + r_2}{d} \frac{\zeta'(-r_2)}{2}.$$

where a_n is the n -th Fourier coefficient of a cusp form of weight

$$k := 2d + r_1 + r_2 + 2$$

²By [7], if $h(x + iy)$ is an $SL(2, \mathbb{Z})$ -invariant function on the upper half plane satisfying the large- y growth condition $h(x + iy) = O(y^s)$ for some $s > 1$, then each Fourier mode $\hat{h}_n(y) = \int_0^1 h(x + iy) e^{-2\pi i n x} dx$ of h satisfies the bound $\hat{h}_n(y) = O(y^{1-s})$ for small y . In particular, the small- y boundary condition for any mode number n is

$$\hat{f}_n(y) = O(y^{-2}).$$

on $\mathrm{SL}_2(\mathbb{Z})$, given by $h = \sum_f \lambda_f f$, where f runs over normalized Hecke eigenforms³ of weight k and level 1, and

$$\lambda_f = \frac{\pi(-1)^{d+r_2/2+1}}{2^k} \binom{k-2}{d} \frac{L^*(f, d+1)L^*(f, r_1+d+1)}{\langle f, f \rangle}.$$

Above $L^*(f, \cdot)$ is the completed L -function of f :

$$L^*(f, s) = (2\pi)^{-s} \Gamma(s) L(f, s).$$

Remarks to the lemma:

- in Examples 1 and 2, the cusp forms are of weights less than 8.
- $r_1, r_2 \in \mathbb{Z}_0$ is also allowed, but formulas become longer,
- informal proof introduced by Miller, Radchenko, Klinder-Logan in [8] and extended by F., Klinder-Logan in [5] allows to calculate the first two terms of the sum
- the formula in the lemma allows to calculate any convergent expression of the form

$$\sum \varphi(n_1, n_2) \sigma_{r_1}(n_1) \sigma_{r_2}(n_2)$$

for any

$$(3.2) \quad \varphi(n_1, n_2) := \sum_{j=-r_1}^{d-1} A_j n_1^j + \sum_{j=-r_2}^{d-1} B_j n_2^j + \sum_{j=0}^d (C_j n_1^j \log |n_1| + D_j n_2^j \log |n_2|)$$

- L -values are evaluated at their critical points,
- a certain combination of generalized Eisenstein series has no cuspidal components; regularized large N expansion of certain integrated correlators in $SU(N)$ $\mathcal{N} = 4$ SYM theory.

SKETCH OF THE PROOF OF THE MAIN THEOREM

The main idea to use generating functions. Proving this equality is to consider it to be the n -th Fourier coefficient of a certain cusp form. More precisely, we would like to find some Φ of weight k , and then write

$$\Phi(\tau) = \sum_{n=1}^{\infty} a_n q^n = \sum_{f \text{ is a Hecke eigenform of weight } k} \frac{\langle f, \Phi \rangle}{\langle f, f \rangle} f.$$

Then our convolution identity should read " n -th Fourier coefficient of l.h.s. is the n -th Fourier coefficient of a r.h.s. ".

We will obtain Φ from a so-called *holomorphic projection*⁴ of a certain automorphic function. The next lemma is about that one can project any automorphic form to a cusp form; and then relate their Fourier coefficients.

³We say that a Hecke eigenform is normalized if its first non-zero Fourier coefficient is equal to 1.

Lemma 3.2 (Holomorphic projection lemma). *Let $\tilde{\Phi}$ be a non-holomorphic modular form of weight $k > 2$ for $\mathrm{SL}_2(\mathbb{Z})$ with a Fourier expansion $\tilde{\Phi}(z) = \sum_{m \in \mathbb{Z}} a_m(y) e^{2\pi i m x}$, and suppose that for some*

We take non-holomorphic Eisenstein series of weight $2q$ and spectral parameter s :

$$y^{-q}E_{2q}^*(\tau, s) = \theta_q(s) \sum_{\gamma \in \Gamma \backslash \Gamma_\infty} \frac{\Im(\gamma.z)^{s-q/2}}{(c\tau + d)^q},$$

where $\theta_q(s) = \pi^{-s}\Gamma(s+q)\zeta(2s)$. It is automorphic with weight $2q$. Moreover, it has the Fourier expansion

$$E_{2q}^*(\tau, s) = \theta_q(s)y^s + \theta_q(1-s)y^{1-s} + (-1)^q \sum_{n \neq 0} \frac{\sigma_{2s-1}(n)}{|n|^s} W_{q,s-1/2}(4\pi ny) e^{2\pi i n x},$$

where $W_{q,s-1/2}$ is the Whittaker function. Further we take

$$\tilde{\Phi}(\tau) = E_{2k_1}^*(\tau, m_1 + 1/2) E_{2k_2}^*(\tau, m_2 + 1/2) y^{-k_1-k_2},$$

where we choose the integers k_1 and k_2 to satisfy $2k_1 + 2k_2 = k = r_1 + r_2 + 2d + 2$ and $k_i \geq m_i$. It satisfies the properties of holomorphic projection lemma. The n -th Fourier coefficient of $\tilde{\Phi}(\tau)$ can be calculated as

$$a_n(y) = \sum_{n_1+n_2=n} a_{n_1,n_2}(y)$$

where

$$a_{n_1,n_2}(y) = \frac{(-1)^{k_1+k_2} \sigma_{r_1}(n_1) \sigma_{r_2}(n_2)}{|n_1|^{r_1/2+1/2} |n_2|^{r_2/2+1/2}} W_{k_1,m_1}(4\pi n_1 y) W_{k_2,m_2}(4\pi n_2 y) y^{k_1+k_2-2}.$$

Now, we want to use the holomorphic projection lemma for $\tilde{\Phi}$. We find that

$$\Phi = \sum_{n=1}^{\infty} a_n q^n = \sum_{n=1}^{\infty} \sum_{n_1+n_2=n} a_{n_1,n_2} q^n,$$

and, up to a constant (we use the formula in the holomorphic projection lemma and calculate all the integrals),

$$a_{n_1,n_2} = \sigma_{r_1}(n_1) \sigma_{r_2}(n_2) Q_d^{(r_1,r_2)}\left(\frac{n_2-n_1}{n_2+n_1}\right),$$

and

$$a_{n,0} = (-1)^d C_d^{(r_1,r_2)}(n) \sigma_{r_1}(n), \quad a_{0,n} = C_d^{(r_2,r_1)}(n) \sigma_{r_2}(n).$$

Thankfully, Diamantis and O'Sullivan already calculated the Petersson inner product:

$$\langle f, \Phi \rangle \stackrel{\text{HP}}{=} \langle f, \tilde{\Phi} \rangle = 2(-1)^{k_2} \pi^k L^*(f, d+1) L^*(f, r_2 + d + 1),$$

that finishes the proof.

$\varepsilon > 0$ we have $\tilde{\Phi}(z) = O(y^{-\varepsilon})$ as $z \rightarrow i\infty$. Define

$$a_m = \frac{(4\pi m)^{k-1}}{(k-2)!} \int_0^\infty a_m(y) e^{-2\pi m y} y^{k-2} dy, \quad m > 0.$$

Then the function $\Phi(z) = \sum_{m>0} a_m e^{2\pi i m z}$ is a holomorphic cusp form of weight k for $\text{SL}_2(\mathbb{Z})$ and moreover $\langle f, \Phi \rangle = \langle f, \tilde{\Phi} \rangle$ for all $f \in S_k(\text{SL}_2(\mathbb{Z}))$. $\square$

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